

The Asymptotic Properties of Turbulent Solutions to the Navier-Stokes Equations

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Abstract

In this paper we study the large time behavior of solutions to the Navier-Stokes equations. We present a brief survey of results concerning energy decay, and discuss a related phenomenon of the large time energy concentration in the frequency space occurring in any turbulent solution. This leads us to the study of solutions in the Besov spaces and to proof that if we choose a suitable initial condition then in some Besov spaces the energy of the associated solution does not decrease asymptotically to zero.

Keywords: Navier-Stokes equations, Besov spaces.

1 Introduction

We consider the Navier-Stokes equations for a viscous incompressible fluid which fills the whole three-dimensional space \mathbf{R}^3 with the absence of external forces:

$$\partial_t u + \nabla \cdot (u \otimes u) = -\nabla p, \quad (1)$$

$$\nabla \cdot u = 0, \quad (2)$$

$$u(x, 0) = u_0(x). \quad (3)$$

Here $u : \mathbf{R}^3 \times [0, \infty) \rightarrow \mathbf{R}^3$ denotes the unknown velocity field and $p : \mathbf{R}^3 \times [0, \infty) \rightarrow \mathbf{R}$ is the unknown pressure. $u_0 = u_0(x) = (u_{01}(x), u_{02}(x), u_{03}(x))$ is a given initial velocity.

The mathematical theory of the Navier-Stokes equations has been developed since the pioneering work by Leray ([7]). Plenty of papers and books can now be found in the literature concerning various aspects of the theory, among them the famous problem (still unresolved) whether a solution of the Navier-Stokes equations with smooth data remains regular for all times or can develop a blow-up in a finite time. In this paper we are interested in the large time behavior of the solutions and we start with the following basic question: does the kinetic energy of the solutions decrease to zero as time t goes to infinity? This question was first raised by Leray in [7] in 1934 and the intuitive answer is positive, since we consider no external forces here. Indeed, the answer "yes" turns out to be correct, but many years passed between the formulation of the question and its partial solution by Kato, in [6]. Having solved the basic problem, we can now investigate more detailed aspects of energy decay. In the second section, we will discuss the rate of energy decay and we will present a short survey of the results.

The third section will be devoted to the phenomenon of large time energy concentration in solutions. It turns out that in every (turbulent) solution the energy concentrates for large times in frequencies forming an annulus or a ball in the frequency space. This phenomenon seems to be connected with the rate of energy decay discussed in the second section, and we will present several results concerning the existence and the rate of the energy concentration.

The main results of this paper are presented in the fourth section, where we will turn our attention to the existence of solutions in Besov spaces. These spaces are defined by the use of the Fourier transform, and enable a study of the location of the energy in the whole spectrum of frequencies. This seems to be a suitable way to study the problems presented in the third section. We improve a result presented by Miyakawa in [8], and show here that there exist some Besov spaces in which some solutions do not decrease asymptotically to zero, unlike the decrease to zero in the energy norm mentioned above.

For the purposes of clarity, all the notation used in this paper, and also definitions of some basic mathematical terms, can be found in the Appendix.

2 Rate of energy decay

As was mentioned in the Introduction, the energy of every turbulent solution u decreases asymptotically to zero, i.e. $\lim_{t \rightarrow \infty} \|u(t)\|_2 = 0$. (A precise simple proof can be found in [20]).

A further logical step is to study the rate of energy decay, and to present some classes of initial conditions providing various rates of decay. Many results concerning this problem were proved by Schonbek (see, for example [11], [12] and [13]). We mention here

as an example a result proved in [12]: If the initial condition u_0 belongs to the space $L^1 \cap L^2_\sigma$, then there exists a global weak solution of (1)–(3) and $c > 0$ such that

$$\|u(t)\|_2 \leq c(t+1)^{-1/4}$$

for every $t \geq 0$. A key paper was published by Wiegner in [20]. He showed that, roughly speaking, most of the solutions of the Navier-Stokes equations decrease at the same rate as the solutions of the so called Stokes equations (the Navier-Stokes equations deprived of the nonlinear term) with the same initial conditions. More precisely, a turbulent solution with the initial condition u_0 decreases at the rate $(1+t)^{-\alpha}$ for some $\alpha \in (0, 5/4]$ if also $e^{t\Delta}u_0$ decreases at the same rate. Solutions with an even higher rate of decay were studied by Miyakawa and Schonbek in [9]. They proved the following result:

Theorem 1. *Let $u_0 \in L^2_\sigma$ and $\int |u_0(x)|$*

Further,

$$a = \sup\{\lambda \geq 0; \lim_t \|u(t)\|_2 e^{\lambda t} = 0\},$$

which implies that the energy of u decreases exponentially for $t \rightarrow \infty$ if and only if $a > 0$.

Finally, if $a > 0$ and $\varepsilon > 0$, then

$$\lim_t e^{(a-\varepsilon)t} \|u(t)\|_2 = 0$$

and

$$\lim_t e^{(a+\varepsilon)t} \|u(t)\|_2 = \infty.$$

Remark 1. It is possible to show (see [5]) that for every $\lambda > 0$

$$F(E_\lambda u(t))(\xi) = \chi_{B_{\sqrt{\lambda}}(0)} F(u(t))(\xi),$$

where F denotes the Fourier transform and $\chi_{B_{\sqrt{\lambda}}(0)}$ is the characteristic function of $B_{\sqrt{\lambda}}(0) = \{x \in \mathbf{R}^3; \|x\| \leq \sqrt{\lambda}\}$. Consequently, the equality (5) can be written in the form

$$\lim_t \frac{\int_{B_{\sqrt{a+\varepsilon}}(0) \setminus B_{\sqrt{a-\varepsilon}}(0)} |F(u(t))(\xi)|^2 d\xi}{\int_{\mathbf{R}^3} |F(u(t))(\xi)|^2 d\xi} = 1$$

if $a > 0$ and $\varepsilon \in (0, a)$ and

$$\lim_t \frac{\int_{B_{\sqrt{\varepsilon}}(0)} |F(u(t))(\xi)|^2 d\xi}{\int_{\mathbf{R}^3} |F(u(t))(\xi)|^2 d\xi} = 1$$

if $a = 0$ and $\varepsilon > 0$.

The results from Theorem 2 and Remark 1 can be interpreted in a way that in every turbulent solution the frequencies outside the annulus or the ball disappear asymptotically. This result can be further strengthened in the following way:

Theorem 3. Let $\alpha \geq 0$. Then

$$\lim_t \frac{\int_{K_{a,\varepsilon}^C} |\xi|^{4\alpha} |F(u(t))(\xi)|^2 d\xi}{\int_{\mathbf{R}^3} |F(u(t))(\xi)|^2 d\xi} = 0,$$

where $K_{a,\varepsilon}^C = \mathbf{R}^3 \setminus K_{a,\varepsilon}$, $K_{a,\varepsilon} = B_{\frac{1}{a+\varepsilon}}(0) \setminus B_{\frac{1}{a-\varepsilon}}(0)$ if $a > 0$ and $K_{a,\varepsilon} = B_{\frac{1}{\varepsilon}}(0)$ if $a = 0$.

Up to now we have discussed the phenomenon of the large time energy concentration in the frequency space which occurs in any turbulent solution. In Theorem 4, we will present an example of a concrete class of initial conditions such that if u_0 belongs to this class and u is a turbulent solution with $u(0) = u_0$, then the energy of the solution concentrates asymptotically in frequencies from an arbitrarily small ball in the frequency space centered in the origin of the coordinates. We also present two estimates of the rate of energy concentration (see [18]).

For a description of the class mentioned in the previous paragraph we need the following definition.

Definition 2. Let $\alpha, \delta > 0$, and m be a real number. We define

$$K_{m,\alpha}^\delta = \{v \in L_\sigma^2; |F(v)(\xi)| \geq \alpha |\xi|^m, \forall |\xi| \leq \delta\}.$$

Theorem 4. Let $\alpha, \delta > 0$, $m > -3/2$, $p \in [1, 2]$ and

$$\frac{3}{p} - \frac{3}{2} \leq m + 3/2 < \min\left(\frac{6}{p} - \frac{5}{2}, \frac{5}{2}\right).$$

Let further

$$u_0 \in L_\sigma^2 \cap K_{m,\alpha}^\delta \cap L^p$$

and u be a turbulent solution of (1)–(3) with the initial condition u_0 .

If $q \geq 1/2$, then there exists $c > 0$ dependent only on $\|u_0\|_2$, $\|u_0\|_p$, δ , m , α and q such that

$$1 - \frac{\|E_\lambda u(t)\|_2}{\|u(t)\|_2} \leq \frac{c}{\lambda} t^{-1+(m-3/p+3)/(2q)} \quad (6)$$

for every $\lambda > 0$ and every $t \geq 1$.

Let $\lambda_0 > 0$. Then there exists $c > 0$ dependent only on $\|u_0\|_2$, $\|u_0\|_p$, δ , m , α and λ_0 such that

$$1 - \frac{\|E_\lambda u(t)\|_2}{\|u(t)\|_2} \leq \frac{c}{\lambda^2} t^{-3/p-1} \quad (7)$$

for all $\lambda \geq \lambda_0$ and $t \geq 1$.

Inequalities (6) and (7) provide information about the concentration of the energy in low frequencies and about the rate of this concentration.

Since the energy of the solutions described in Theorem 5 concentrates at low frequencies, the number a from Theorem 2 is equal to zero and the energy of these solutions does not decrease exponentially.

Let us mention here one open problem: to find a turbulent solution u with an initial condition u_0 such that the number a from Theorem 2 is positive. In other words, to find a solution with the energy decreasing at the exponential rate e^{-at} , $t \rightarrow \infty$ and concentrating in frequencies from an arbitrarily narrow annulus with the middle diameter $a > 0$.

4 Solutions in Besov spaces

We start this section with a definition of homogeneous Besov spaces (see also [2]).

Let C be the annulus $\{\xi \in \mathbf{R}^3; 3/4 \leq |\xi| \leq 8/3\}$. There exist the smooth radial function χ and φ with the support $B(0, 4/3)$ and C , resp., with values in $[0, 1]$, and such that

$$\begin{aligned} \chi(\xi) + \sum_{j=0} \varphi(2^{-j}\xi) &= 1, \quad \forall \xi \in \mathbf{R}^3 \\ \sum_{j \in \mathbf{Z}} \varphi(2^{-j}\xi) &= 1, \quad \forall \xi \in \mathbf{R}^3 \setminus \{0\}, \end{aligned}$$

$$\begin{aligned} \text{Supp } \varphi(2^{-j} \cdot) \cap \text{Supp } \varphi(2^{-j'} \cdot) &= \emptyset \text{ if } |j - j'| \geq 2, \\ \text{Supp } \chi \cap \text{Supp } \varphi(2^{-j} \cdot) &= \emptyset \text{ if } j \geq 1. \end{aligned}$$

Let $h = F^{-1}\varphi$. If $u \in \mathcal{S}$ (the space of tempered distributions), then the homogeneous dyadic blocks are defined for $j \in \mathbb{Z}$ as

$$j u = 2^{3j} \int_{\mathbb{R}^3} h(2^j y) u(x - y) dy.$$

The space of the homogeneous distributions \mathcal{S}'_h is defined in the following way: $u \in \mathcal{S}$ belongs to \mathcal{S}'_h if and only if $u = \sum_{j \in \mathbb{Z}} j u$.

We can now define the homogeneous Besov space $B^s_{p, \infty}$, $s \in \mathbb{R}$, $p \in [1, \infty]$. This space consists of those distributions from \mathcal{S}'_h such that

$$\|u\|_{B^s_{p, \infty}} = \sup_{j \in \mathbb{Z}} 2^{js} \|j u\|_p < \infty.$$

Suppose now that u is a turbulent solution of (1) - (3) with an initial condition u_0 . Then (see [19])

$$u(t) = e^{t\Delta} u_0 + \int_0^t e^{\Delta(t-s)} P_\sigma \nabla (u \otimes u(s)) ds.$$

If we denote the integral from the previous equality as $w(t)$ and use the fact that the operator $P_\sigma \nabla$ is homogeneous of degree 1, we can derive

$$\begin{aligned} \|j w(t)\|_1 &\leq \int_0^t C e^{-c(t-s)2^{2j}} 2^j \|j(u \otimes u(s))\|_1 ds. \end{aligned}$$

So, we have for every $t > 0$

$$\begin{aligned} \|w(t)\|_{B^{-1}_{1, \infty}} &= \sup_{j \in \mathbb{Z}} 2^{-j} \|j w(t)\|_1 \\ &\leq C \sup_{j \in \mathbb{Z}} \int_0^t e^{-c(t-s)2^{2j}} \|j(u \otimes u(s))\|_1 ds \\ &\leq C \sup_{j \in \mathbb{Z}} \int_0^t e^{-c(t-s)2^{2j}} \|u(s)\|_2^2 ds \\ &\leq C \int_0^t \|u(s)\|_2^2 ds < \infty. \end{aligned}$$

It follows that $w(t) \in B^{-1}_{1, \infty}$ and so $w(t) \in B^{-5/2}_{2, \infty}$, since $B^{-1}_{1, \infty}$ is continuously embedded into $B^{-5/2}_{2, \infty}$ (as follows from the Bernstein inequalities, see [3]). Thus, if the initial condition u_0 is from the space $B^{-5/2}_{2, \infty}$, then $e^{\Delta t} u_0$ is also from the same space. This means that $u(t) \in B^{-5/2}_{2, \infty}$ for every $t > 0$, $\|e^{\Delta t} u_0\|_2$ decreases at the rate $(1+t)^{-5/4}$ (see [3]) and using the result from [20] mentioned in the second section we also have $\|u(t)\|_2 \leq c_2(1+t)^{-5/4}$ for every $t \geq 0$.

Suppose now that the initial condition was chosen in such a way that

$$c_1(1+t)^{-5/4} \leq \|u(t)\|_2 \quad (8)$$

for some $c_1 > 0$ and every $t \geq 0$. It follows from [14] that $\|A^\alpha u(t)\|_2 \leq c_3(1+t)^{-\alpha-5/4}$ for every $\alpha > 0$ and every sufficiently large t . If $\mu(t) = c_4(1+t)^{-1}$, we get

$$\begin{aligned} c_3^2(1+t)^{-2\alpha-5/2} c_1^{-2}(1+t)^{5/2} &\geq \frac{\|A^\alpha u(t)\|_2^2}{\|u(t)\|_2^2} \\ &\geq c_4^{2\alpha}(1+t)^{-2\alpha} \left(1 - \frac{\|E_{\mu(t)} u(t)\|_2^2}{\|u(t)\|_2^2}\right). \end{aligned}$$

So, if c_4 is sufficiently large then

$$1 - \frac{\|E_{\mu(t)} u(t)\|_2^2}{\|u(t)\|_2^2} \leq c_4^{-2\alpha} c_3^2 c_1^{-2} < 1$$

and

$$\|E_{\mu(t)} u(t)\|_2 \geq c \|u(t)\|_2 \quad (9)$$

for every sufficiently large t and some $c > 0$.

We will now prove the existence of a constant c such that $\liminf_t \|u(t)\|_{B^{-5/2}_{2, \infty}} \geq c > 0$. We proceed by contradiction. Suppose that there exists a sequence $\{t_n\}_{n=1}^\infty$, $\lim_n t_n = \infty$ such that $\lim_n c(n) = 0$, where $c(n) = \|u(t_n)\|_{B^{-5/2}_{2, \infty}} = \sup_{j \in \mathbb{Z}} 2^{-5j/2} \|j u(t_n)\|_2$. Then

$$\|j u(t_n)\|_2^2 \leq 2^{5j} c(n)^2.$$

Choose j_0 so that $2^{j_0} \sim (1+t_n)^{-1/2}$ and sum up the last inequality over j from $-\infty$ to j_0 . We get

$$\sum_{j \leq j_0} \|j u(t_n)\|_2^2 \leq \sum_{j \leq j_0} 2^{5j} c(n)^2.$$

Due to the definition of μ , (9) and the choice of j_0 , the left hand side is greater than $c \|u(t_n)\|_2^2$ for some $c > 0$ independent of n . The right hand side is smaller than $2c(n)^2 2^{5j_0} \sim 2c(n)^2 (1+t_n)^{-5/2}$. We get finally

$$c \|u(t_n)\|_2 \leq c(n) (1+t_n)^{-5/4}$$

for every $n \in \mathbb{N}$ and this is in contradiction with (8). We sum up the result from this section in the following theorem.

Theorem 5. *Let $u_0 \in B^{-5/2}_{2, \infty} \cap L^2_\sigma$. Let u be a turbulent solution of (1)–(3) with the initial condition u_0 and such that $\|u(t)\|_2 \geq c(1+t)^{-5/4}$ for some $c > 0$ and all $t \geq 0$. Then there exist constants c and c such that*

$$0 < c \leq \|u(t)\|_{B^{-5/2}_{2, \infty}} \leq c \quad (10)$$

for every $t \geq 0$.

We will now show that Theorem 5 improves the result presented by Miyakawa in [8]. Miyakawa studied

turbulent solutions with initial conditions $u_0 \in L^2_\sigma$ such that

$$\int (1 + |x|)|u_0(x)|dx < \infty. \quad (11)$$

He proved that

$$0 < c_0 \leq \|u(t)\|_{B^{-1}_{1,\infty}} \leq c_1 \quad (12)$$

for large $t > 0$ and some constants c_0 and c_1 if and only if

$$\left(\int x_j u_{0m}(x) dx, \int_0^\infty \int (u_k u_l)(x, s) dx ds \right) \neq (0, c\delta_{kl}). \quad (13)$$

Moreover, it was proved by Miyakawa and Schonbek in [9] that (13) holds if and only if there exist constant c_0 and c_1 such that

$$0 < c_0 \leq t^{5/4} \|u(t)\|_2 \leq c_1 \quad (14)$$

for large $t > 0$.

Since the initial conditions satisfying (11) belong to the space $B^{-5/2}_2 \cap L^2_\sigma$, it is clear that Theorem 5 generalizes the result by Miyakawa mentioned above: For initial conditions satisfying (11) and under condition (13) (resp. (14)) both results give lower estimates of $u(t)$, but while Miyakawa's estimate uses the space B^{-1}_1 , in Theorem 5 we use the space $B^{-5/2}_2$. Since B^{-1}_1 is continuously embedded into $B^{-5/2}_2$, the result from Theorem 5 is stronger. Moreover, Theorem 5 also describes lower estimates for solutions with initial conditions not satisfying (11) (in this paper we have not dealt with their existence).

5 Appendix

The definitions and some basic properties of the following concepts can be found in [19]:

- L^p , $p \in [1, \infty]$, the Lebesgue space with the norm $\|\cdot\|_p$;
- $W^{k,p}$, $k \in \mathbb{N}$, $p \in [1, \infty]$, the Sobolev space with the norm $\|\cdot\|_{k,p}$;
- $C_{0,\sigma} = \{\varphi \in (C_0)^3; \nabla \cdot \varphi = 0\}$, the set of smooth solenoidal vector functions with compact support in \mathbb{R}^3 ;
- L^2_σ , resp. $W^{1,2}_{0,\sigma}$, the closure of $C_{0,\sigma}$ in $(L^2)^3$, resp. $(W^{1,2})^3$;
- P_σ , the orthogonal projection of $L^2(\cdot)^3$ onto L^2_σ ;
- A , the Stokes operator in L^2_σ defined as $Au = -P_\sigma \Delta u$ for every $u \in D(A) = W^{1,2}_{0,\sigma} \cap (W^{2,2})^3$; A is a positive self-adjoint operator; for the case of the whole space $Au = -\Delta u$;

- $\{E_\lambda; \lambda \geq 0\}$, the resolution of identity of A ;
- A^μ , $\mu \in \mathbb{R}$, the powers of A with domains $D(A^\mu)$ and ranges $R(A^\mu)$;
- $\{e^{t\Delta}; t \geq 0\}$, the semigroup generated by the Laplace operator —

Definition 3. If $u_0 \in L^2_\sigma$, a measurable function u defined on $\mathbb{R}^3 \times (0, \infty)$ is called a global weak solution of (1)–(3) if

$$u \in L((0, \infty); L^2_\sigma) \cap L^2((0, T); W^{1,2}_{0,\sigma})$$

for every $T > 0$ and the integral relation

$$\begin{aligned} \int_0^t [-(u(t), \partial_t \phi(t)) + (\nabla u(t), \nabla \phi(t)) \\ + (u(t) \cdot \nabla u(t), \phi(t))] dt = (u_0, \phi(0)) \end{aligned}$$

holds for all $\phi \in C_0([0, \infty); C_{0,\sigma})$.

Definition 4. A global weak solution u satisfies the strong energy inequality if

$$\|u(t)\|^2 + 2 \int_s^t \|\nabla u(\sigma)\|^2 d\sigma \leq \|u(s)\|^2$$

for $s = 0$ and almost all $s > 0$, and all $t \geq s$. A global weak solution satisfying the strong energy inequality is called turbulent.

Definition 5. Let $u_0 \in D(A)$. A function $u \in C([0, \infty); D(A)) \cap C^1((0, \infty); L^2_\sigma)$ is called a global strong solution of (1)–(3) if $u(0) = u_0$ and $du/dt + Au + P_\sigma(u \cdot \nabla u) = 0$ for every $t > 0$.

If $u_0 \in L^2_\sigma$ then there exists at least one turbulent solution of (1)–(3) (see [4]). Every turbulent solution becomes strong after some transient time (see [19], Chapter V.). This means that there exists $T_0 \geq 0$ such that $u \in C((T_0, \infty); D(A)) \cap C^1((T_0, \infty); L^2_\sigma)$ and $du/dt + Au + P_\sigma(u \cdot \nabla u) = 0$ for every $t > T_0$.

6 Conclusion

In this paper we have presented a survey of some results on the large time decay of energy in turbulent solutions to the Navier-Stokes equations, and the related topic of the large time energy concentration in the frequency space. In the fourth section we improved a result from [8] and showed that some Navier-Stokes flows do not decay asymptotically to zero when considered in suitable Besov spaces.

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